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PTO/SB/05 (4/98)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</i>	Attorney Docket No.	P3944
	First Inventor or Application Identifier	Jaideep Srivastava, et al.
	Title	Method and Apparatus for Multifaceted Profiling of Individual Users
	Express Mail Label No.	EL573443768US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Specification [Total Pages 19] (preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 2] 4. Oath or Declaration [Total Pages 2] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	5. <input type="checkbox"/> Microfiche Computer Program (Appendix) 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
ACCOMPANYING APPLICATION PARTS	
7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee) 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 13. <input checked="" type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, (PTO/SB/09-12) Status still proper and desired 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input checked="" type="checkbox"/> Other: Check for fees	
* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).	

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
 Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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Name (Print/Type)	Donald R. Boys	Registration No. (Attorney/Agent)	35074
Signature		Date	09/01/2000

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<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <p style="font-size: small; margin: 5px 0;">Note: Effective October 1, 1997. Patent fees are subject to annual revision.</p>	Complete if Known												
TOTAL AMOUNT OF PAYMENT (\$) 345.00	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>Application Number</td><td>NA</td></tr> <tr><td>Filing Date</td><td>09/01/2000</td></tr> <tr><td>First Named Inventor</td><td>Jaideep Srivastava, et al.</td></tr> <tr><td>Group Art Unit</td><td>NA</td></tr> <tr><td>Examiner Name</td><td>NA</td></tr> <tr><td>Attorney Docket Number</td><td>P3944</td></tr> </table>	Application Number	NA	Filing Date	09/01/2000	First Named Inventor	Jaideep Srivastava, et al.	Group Art Unit	NA	Examiner Name	NA	Attorney Docket Number	P3944
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<h3 style="text-align: center; margin: 0;">METHOD OF PAYMENT (check one)</h3> <p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number </p> <p>Deposit Account Name </p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <h3 style="text-align: center; margin: 10px 0;">FEE CALCULATION</h3> <div style="border: 1px solid black; padding: 5px; margin-bottom: 10px;"> <h4 style="margin: 0;">1. FILING FEE</h4> <table style="width: 100%; font-size: small;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101 760</td><td>201 380</td><td>Utility filing fee</td><td style="border: 1px solid black; text-align: center;">345.00</td></tr> <tr><td>106 330</td><td>206 165</td><td>Design filing fee</td><td style="border: 1px solid black; text-align: center;"></td></tr> <tr><td>107 540</td><td>207 270</td><td>Plant filing fee</td><td style="border: 1px solid black; text-align: center;"></td></tr> <tr><td>108 790</td><td>208 395</td><td>Reissue filing fee</td><td style="border: 1px solid black; text-align: center;"></td></tr> <tr><td>114 150</td><td>214 75</td><td>Provisional filing fee</td><td style="border: 1px solid black; text-align: center;"></td></tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (1)</td> <td style="border: 1px solid black; text-align: center;">(\$) 345.00</td> </tr> </tbody> </table> </div> <div style="border: 1px solid black; padding: 5px;"> <h4 style="margin: 0;">2. CLAIMS</h4> <table style="width: 100%; font-size: small;"> <thead> <tr> <th>Total Claims</th> <th>Extra</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>18</td> <td>-20 =</td> <td>0 X 09 =</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td>2</td> <td>-3 =</td> <td>0 X 39 =</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> <tr> <td colspan="3">Multiple Dependent Claims</td> <td style="border: 1px solid black; text-align: center;">0.00</td> </tr> </tbody> </table> <table style="width: 100%; font-size: small;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103 18</td><td>203 09</td><td>Claims in excess of 20</td><td style="border: 1px solid black; text-align: center;"></td></tr> <tr><td>102 78</td><td>202 39</td><td>Independent claims in excess of 3</td><td style="border: 1px solid black; 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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name <div style="text-align: center; font-size: large;">Donald R. Boys</div>		Reg. Number <div style="text-align: center; font-size: large;">35,074</div>		Deposit Account User ID	
Signature 		Date 09/01/2000		Deposit Account User ID	

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Certificate of Express Mailing



"Express Mail" Mailing Label Number: **EL573443768US**

Date of Deposit: **09/01/2000**

Ref: Case Docket No.: **P3944**

First Named Inventor: **Jaideep Srivastava, et al.**

Serial Number: **NA**

Filing Date: **09/01/2000**

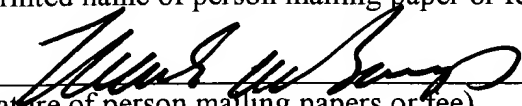
Title of Case: **Method and Apparatus for Multifaceted Profiling of Individual Users**

I hereby certify that the attached papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and addressed to the Commissioner of Patents and Trademarks, Washington D.C. 20231

1. Utility patent application transmittal.
2. 19 sheets of specification.
3. 2 sheets of drawings.
4. Fee transmittal.
5. Duplicate fee transmittal.
6. Declaration and Power of Attorney.
7. Verified Statement Claiming Small Entity.
8. Check for fees in the amount of \$345.00.
9. Certificate of express mailing.
10. Postcard listing contents.

Mark A. Boys

(Typed or printed name of person mailing paper or fee)


(Signature of person mailing papers or fee)